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Buoyancy-driven instability of bubbly layers: analogy with thermal convection

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Abstract

10 An analogy has been established between the buoyancy-driven instability of thermal layers (Rayleigh–
11 Benard instability) and bubbly layers (homogeneous–heterogeneous regime transition). On the physical
12 level, the analogy is a simple scaling theory based on a suitable definition of the Rayleigh, Prandtl, and
13 Nusselt numbers for bubbly layers. The analogy yields a stability criterion in terms of the critical voidage.
14 The criterion predicts the destabilizing effect of layer dimensions, both the height and width, and the
15 stabilizing effect of the liquid viscosity and hydrodynamic bubble diffusivity. The predictions are in
16 agreement with available experimental data from water–air bubbly layers. On the formal level, the analogy
17 is based on similarities between the governing equations of thermal and bubbly layers. It is shown, how the
18 equations of bubbly layers can be converted into those of thermal layers, provided that the bubble inertia
19 and slip speed are negligible. The suggested analogy applies generally to dispersed two-phase systems
20 controlled by buoyancy, viscosity, and hydrodynamic diffusion (e.g. sedimentation and fluidization).
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22

23 1. Introduction

24 The breakdown of buoyant fluid layers by the buoyancy-driven (or: overturning, convective)
25 instability is a classical problem of fluid mechanics (e.g. Chandrasekhar, 1961; Turner, 1979). The
26 basic state is overturned when the buoyancy forces overcome the stabilising effect of viscosity and
27 diffusion. It happens at a critical value of the Rayleigh number, which is the key control parameter

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28 of the system. The convective instability is typical for thermal layers, where it has been studied for
29 a long time and the research area is well established. The purpose of this paper is to show that the
30 same phenomenon exists also in dispersed two-phase layers. Large-scale circulatory motions set in
31 these layers at a critical buoyancy input and the corresponding flow regime transition can be
32 treated by the formalism developed for thermal layers. One-phase and two-phase convective
33 systems are briefly reviewed in this section. Attention is paid to the confining lateral walls, whose
34 effect on the instability is substantial.

35 The typical representative of one-phase systems is the Rayleigh–Benard convection, where a
36 fluid layer enclosed between two horizontal plates is heated from below (e.g. Koschmieder, 1993).
37 The question is when the basic state of rest with a linear temperature (density) profile becomes
38 unstable with respect to buoyant disturbances and the convective circulations set in. The onset
39 occurs at a critical value of the Rayleigh number, Ra_c . The governing equations of the thermal
40 system are well-known and the value of Ra_c as well as the character of the circulations depend on
41 the properties of the boundaries. The linear stability analysis of the horizontally infinite thermal
42 layer with free–free (slip) horizontal boundaries was performed by Rayleigh (1916) and with ri-
43 gid–rigid (no-slip) boundaries later by Jeffreys (1928). The results are extensively discussed by
44 Chandrasekhar (1961) and Drazin and Reid (1981). At Ra_c the layer becomes unstable via the
45 symmetry-breaking supercritical bifurcation, when the overturning buoyancy forces on the dis-
46 turbance overcome the stabilizing viscous forces. The critical wavenumber can be realized by
47 many steady convective patterns (rolls, cells, rings). For a supercritical $Ra > Ra_c$, a whole con-
48 tinuum of wavenumbers emerges. Further development of the flow with increasing energy input
49 proceeds via a sequence of bifurcations, from steady, through time-dependent oscillatory, to
50 complex and irregular motion (Busse, 1985). An important energy balance theorem relates to the
51 onset (Chandrasekhar, 1961): the convection starts at the lowest temperature gradient across the
52 layer that provides enough buoyant energy to keep the dissipative motion going. Therefore, layers
53 with more friction withstand larger temperature gradients and thus are more stable.

54 Lateral walls stabilize the thermal layers by limiting the mode spectrum remarkably and by
55 bringing additional viscous friction. Horizontally finite layers confined in enclosures present a
56 difficult mathematical problem. Pellew and Southwell (1940) performed the stability analysis for a
57 rectangular box with slip walls. Davis (1967) considered a more realistic case of a box with rigid
58 walls. Charlson and Sani (1970) studied convection confined in a rigid cylinder. In all these cases,
59 a substantial increase of Ra_c with the layer aspect ratio $A = H/D$ (height H , width D) was pre-
60 dicted theoretically. The increase was observed in numerous experiments with both rectangular
61 and circular enclosures (e.g. Catton and Edwards, 1967; Stork and Muller, 1972, 1975).

62 Besides confined layers heated from below, other situations have been studied too, for in-
63 stance, unbounded layers, layers of infinite vertical extent, layers heated from a side. Also, a
64 variety of density profiles have been considered. These situations, however, do not relate directly
65 to our subject, a bounded uniform bubbly layer sparged from below.

66 The passage from one-phase to two-phase systems consists in realizing that the thermal layer is
67 only a special case of buoyant layers. Besides heat, the buoyant effects can be produced by
68 nonuniformities in spatial distribution of other agents present in the fluid, e.g. solute, bubbles,
69 drops, and solids. Batchelor and Nitsche (1991) pointed out that in the case of a solute and very
70 fine dispersed particles, the relative motion between the buoyant agent and the fluid is negligible
71 and the (convection–diffusion) equation of heat may be replaced by formally the same equation of

72 the dispersed phase concentration. Then, the governing equations of thermal and dispersed layers
73 are equivalent and the Rayleigh number naturally results from the scaling (Batchelor and Nitsche,
74 1991, 1993; Tong and Ackerson, 1998). Batchelor and Nitsche (1993) found the stabilizing effect
75 of lateral walls on stratified layers with dispersed fine particles. The open question is how well the
76 assumption of zero relative motion applies to real dispersed layers with nonzero particle slip speed
77 and inertia effects in both phases.

78 There are many studies on the stability of the uniform basic state of a dispersion in 1D ar-
79 rangement (cross-section average assumed). Much effort has been spent on formulating and
80 analysing the governing equations for 1D two-phase flows, e.g. sedimentation, fluidization, and
81 bubbly flows. The first instability mode usually takes a form of planar concentration waves of
82 various kinds, both kinematic and dynamic in nature (e.g. Kynch, 1952; Wijngaarden and
83 Kapteyn, 1990). Further, 2D and 3D patterns start to appear in originally 1D flow.

84 There are only few studies on the convective instability of dispersed layers, which essentially is a
85 2D phenomenon. Jackson and co-workers investigated the convective character of the instability
86 that breaks a uniform fluidized bed (Medlin et al., 1974). They applied the procedure used for the
87 Rayleigh–Benard problem to the fluidized bed equations, with the Froude number as the di-
88 mensionless parameter. They found a strong stabilizing effect of vertical walls, which is typical for
89 thermal layers. This effect was later confirmed by experiments (Agarwal et al., 1980). Further, they
90 found that the pressure drop across the bed support increased the bed stability. Also, the liquid-
91 fluidized bed was more stable than the gas-fluidized bed—this does not have a direct analogue in
92 thermal systems and clearly indicates a destabilizing effect of the particle inertia. Shnip et al.
93 (1992) (see also Joshi et al., 2001) performed the linear stability analysis of the 2D uniform bubbly
94 layer and found a criterion for the onset of the circulatory regime. Despite the very simplistic
95 governing equations, with the Reynolds and Froude numbers, they also found the stabilizing
96 effect of vertical walls and pressure drop across the gas distributor. They expected their results to
97 be valid also for fluidized beds.

98 Besides the above mentioned stability analysis, there are several other attempts at making a link
99 between thermal and dispersed layers. Rothman and Kadanoff (1994) studied behaviour of
100 bubbly layers numerically, with 2D gas-lattice cellular automata, from the point of view of
101 nonequilibrium patterns formation. Depending on the values of the Peclet number and voidage,
102 they observed two kinds of instability of a quasi-equilibrium bubbly mixture, the coarsening
103 instability known from sedimentation and the convective instability leading to large-scale circu-
104 lations similar to thermal convection cells. Kimura and Iga (1995) explored convection in thin
105 liquid layers sparged with gas both experimentally and numerically. They performed experiments
106 with electrochemically generated microbubbles. With increasing the gas production rate, the
107 following typical behaviour was observed in 2D configuration: isolated bubble chains, mushroom-
108 like plumes form chains mergers, convective cells produced by plumes, no ordered motions. In 3D
109 experiments, unsteady irregular patterns typically occurred. In 2D simulations, two-fluid gov-
110 erning equations were used. They were similar to the thermal equations, but the bubble diffusion
111 was replaced with the bubble advection. The Rayleigh and Prandtl numbers resulted from the
112 scaling. The calculations revealed that the mushroom-like plumes were created by the Rayleigh–
113 Taylor instability of the interphase between the bubbly mixture (light phase) rising below the pure
114 liquid (heavy phase) at the initial stage of sparging. When these plumes reached the surface, steady
115 convective cells were established with various aspect ratio, depending on values of Ra and Pr .

116 Climent and Magnaudet (1999) addressed a similar problem like Kimura and Iga (1995). The
117 origin of large-scale motions in developing bubbly layers in a 2D box was investigated numerically
118 by large-scale simulations. Meso-scale filtered Navier–Stokes equations were used for the liquid
119 and the Lagrangian approach for individual bubbles. They observed two different flow regimes,
120 without and with large-scale motions, depending on the voidage and the bubble residence time. In
121 the former regime, the rising interphase between the bubbly mixture and the pure liquid remained
122 flat. In the latter regime, the interphase became unstable and developed into mushroom-shape
123 fingers of large bubble concentration, resulting in intense upwelling motions—the Rayleigh–
124 Taylor instability. A long-term picture of already developed layers displayed circulatory cells,
125 similar to those in thermal convection. To characterize the two flow regimes, the Rayleigh and
126 Prandtl numbers were defined similarly like in Kimura and Iga (1995). Pr was set constant. Ra
127 varied and its critical value was determined. Tong and Ackerson (1998) investigated a possible
128 analogy between fluctuations in colloidal sedimentation and turbulent thermal convection theo-
129 retically. Coarse-grained creeping-flow equation was used for the fluid and the diffusion equation
130 for the particle concentration, with Prandtl and Rayleigh numbers as the control parameters.
131 Their sedimentation equations were equivalent to the thermal equations and they thus expected
132 the same structure of the fluctuations at high Pr and Ra . They also realized that, unlike the thermal
133 layers, no large-scale density gradient responsible for unstable stratification exists in the sedi-
134 menting layers. Instead, the flux of the dispersed particles through the layer is kept constant and
135 the nonuniformities, which trigger the onset of convection, result from hydrodynamic interactions
136 among the particles and between the particles and the induced flow. All these studies are valuable
137 and highly inspiring, highlighting certain aspects of the analogy. On the other hand, other im-
138 portant aspects are not taken into account. For instance, the hydrodynamic bubble diffusion is
139 not considered and the layers are not homogeneous (early stages of sparging). Further, the nu-
140 merical simulations bear a fingerprint of the particular governing equations used and are usually
141 limited to 2D cases. Finally, a direct quantitative support by experiments is lacking.

142 Our main goal is to establish the bubbly-thermal analogy on the basis of generic physical
143 similarities. This approach avoids the necessity of knowing the governing equations and the result
144 is directly testable by real 3D experiments. Therefore, some basic facts about bubbly layers are
145 presented here.

146 Bubbly layers confined in containers, i.e. bubble columns with zero net liquid flux, have been
147 studied by chemical engineers for a long time (Deckwer, 1992; Kastanek et al., 1993). It is the well-
148 established fact, that there are two basic flow regimes, homogeneous and heterogeneous (or:
149 uniform and circulatory) (Zahradnik et al., 1997; Joshi et al., 1998). The *homogeneous regime* is
150 characterized by the absence of large-scale motions, the circulations. The homogeneous bubble
151 bed is composed of roughly monodisperse bubbles rising almost vertically with small fluctuations
152 in positions and velocities. The voidage distribution is statistically uniform in space and time. The
153 collectively rising bubbles generate a small-scale randomly fluctuating liquid velocity field with
154 near zero long-term average. Thus, the homogeneous regime presents a uniform basic state of the
155 long-term rest (conductive regime).

156 On the other hand, the *heterogeneous regime* displays intense large-scale motions. The heter-
157 ogeneous bubble bed contains pronounced nonuniformities in the voidage and velocity distri-
158 butions due to the strong coupling between the gas and liquid phases. Voidage disturbances
159 resulting from hydrodynamic bubble–bubble interactions trigger and drive vigorous convective

160 motions of the liquid on scales comparable with the column size, where highly buoyant regions are
161 accelerated and advected to the top. As a feedback, these liquid motions cause the heterogeneity
162 of the primary voidage distribution at the sparger. Both the voidage and velocity fields are highly
163 unsteady (Chen et al., 1994). After taking the long-term average, these fields show steady, roughly
164 parabolic profiles with maxima in the central part of the column (Hills, 1974; Franz et al., 1984).
165 The time averaged liquid flow field displays smooth circulation loops (Lapin and Lubbert, 1994)
166 similar to those in thermal convection. These loops are the result of the averaging process and,
167 therefore, are not generally visible by naked eyes in real columns. However, they can be observed
168 directly, for instance, in flat quasi-2D columns, where the occurrence of counter-rotating circu-
169 lation cells were reported (Chen et al., 1989). The steady loop structure of the flow is frequently
170 assumed in models of bubble columns (Joshi and Sharma, 1979). The heterogeneous bubble bed
171 can contain polydisperse bubbles due to the coalescence and breakup (Prince and Blanche, 1990).
172 Thus, the heterogeneous regime presents a nonuniform state with long-term circulations (con-
173 vective regime).

174 Under certain conditions (suitable gas distributor, column dimensions, physico-chemical
175 properties of the phases) both regimes can be obtained in the same equipment (Zahradnik et al.,
176 1997). The homogeneous regime exists at low gas inputs (up to 5 cm/s of the superficial gas ve-
177 locity, say) and is stable with respect to small disturbances of the voidage and velocities. The
178 uniformity is recovered by hydrodynamic bubble–bubble interactions, namely by the process of
179 the hydrodynamic bubble diffusion, which prevents the bubbles from forming highly buoyant
180 clusters. When increasing the buoyant energy input, a gradual transition to the heterogeneous
181 regime occurs (Mudde and Akker, 1999). The large-scale motions occupy still larger and larger
182 portion of the column, till they fill the column completely. The critical point where the homo-
183 geneous regime loses its stability and the transition begins (the circulations start to appear first)
184 can be determined from experimental voidage–gas flow rate data in several ways (Ruzicka et al.,
185 2001a). For instance, the classical drift-flux plot method by Wallis (1969) is reliable and accurate.
186 Thus, the homogeneous–heterogeneous regime transition is the transition from the absence to the
187 presence of the large-scale motions in a uniform bubbly layer (onset of convection).

188 Consequently, the bubbly-thermal analogy can be based on the following generic similarities:

- 189 • large-scale motions are absent in the conductive regime;
- 190 • large-scale motions are present in the convective regime;
- 191 • the onset is triggered by a density disturbance;
- 192 • the critical point is obtainable from experimental data.

193 The paper is organized as follows. In Section 2.1, the bubbly-thermal analogy is established on
194 the physical basis. The thermal formalism is presented and the Rayleigh number is defined in
195 terms of forces. On this grounds, this key number is newly introduced for bubbly layers and a
196 stability criterion is obtained. In Section 2.2, the bubbly-thermal analogy is established on the
197 formal basis. Quite general governing equations for dispersed layers are converted into the
198 equations of thermal layers. In Section 3, experimental results on the stability of bubbly layers are
199 described and compared with the prediction of the theory. In Section 4, a discussion is provided
200 on the similarities and differences between the thermal and two-phase dispersed layers.

201 **2. Theory**

202 2.1. Physical analogy

203 2.1.1. Thermal layers

204 2.1.1.1. Infinite thermal layer. The governing equations in the Boussinesq approximation are
205 (Tritton, 1988):

$$\nabla \cdot \mathbf{v} = 0, \quad (2.1a)$$

$$\rho_0 \left(\frac{\partial \mathbf{v}}{\partial t} + \mathbf{v} \cdot \nabla \mathbf{v} \right) = -\nabla p + \nabla \tau + \rho \mathbf{g}, \quad (2.1b)$$

$$\frac{\partial T}{\partial t} + \mathbf{v} \cdot \nabla T = \kappa \nabla^2 T, \quad (2.1c)$$

209 where \mathbf{v} , ρ , \mathbf{g} , T , κ are fluid velocity, fluid density, gravity, temperature, heat diffusivity,
210 $\rho = \rho_0(1 - \alpha(T - T_0))$, α is thermal expansivity (subscript 0 denotes a constant reference value).
211 After linearization and scaling, two dimensionless numbers Ra and Pr appear that describe the
212 layer completely (Drazin and Reid, 1981):

$$Pr \equiv \gamma/\kappa, \quad (2.2)$$

$$Ra \equiv \frac{g\alpha\Delta TH^3}{\gamma\kappa}. \quad (2.3)$$

215 ΔT is the temperature gradient imposed across the layer and γ is the fluid kinematic viscosity. The
216 neutral equation gives the condition for the onset in terms of the critical value (index c) of the
217 Rayleigh number, $Ra = Ra_c^\infty$, which depends on the boundary conditions (superscript ∞ denotes
218 the horizontally infinite layer). Ra_c^∞ involves the critical temperature gradient ΔT_c and takes the
219 value 658 for slip and 1708 for no-slip boundaries (Drazin and Reid, 1981). The value of Ra_c^∞
220 contains the complete information about the onset. Although Pr does not affect the marginal state,
221 it affects the layer behaviour after the onset. The Nusselt number is introduced for convenience to
222 measure the heat transport through the layer (Tritton, 1988),

$$Nu \equiv J_0/J_1 = (J_1 + J_2)/J_1, \quad (2.4)$$

224 where J_0 , J_1 and J_2 are the total, conductive and convective heat fluxes. Nu equals unity before the
225 onset and is larger than unity after the onset, indicating clearly the transition. To determine the
226 onset in experiments, Nu is usually plotted against Ra , the main control parameter.

227 2.1.1.2. Finite thermal layer. The Rayleigh number defined by (2.3) with H as the only length scale
228 applies also to layers confined in horizontally finite enclosures. The critical value then depends on
229 the layer width D , the other relevant length scale. It is convenient to express this dependence in the
230 form

$$Ra_c = Ra_c^\infty + f(A), \quad (2.5)$$

232 where $f(A)$ is the sidewall correction to the limit value Ra_c^∞ and $A = H/D$ is the layer aspect ratio.
 233 The relation (2.5) contains the complete information about the onset in finite containers. Because
 234 the lateral walls stabilize the layer, $f(A)$ is a generally increasing function starting from zero and
 235 must be found experimentally (Stork and Muller, 1972, 1975). For the idealized slip walls, the
 236 approximate theoretical results predict a quadratic leading-order increase, $f \sim A^2$ with different
 237 numerical coefficients at A^2 for rectangular and circular containers (Segel, 1969; Brown and
 238 Stewartson, 1978). The real data can also be fitted with a power law, see Fig. 1. Note that a two-
 239 scale Rayleigh number can be introduced too, by employing a different scaling. This leads to
 240 replacing H^3 in (2.3) with HD^2 which is used e.g. at convection in narrow vertical gaps (Edwards,
 241 1969; Hartline and Lister, 1977).

242 **2.1.1.3. Physical meaning of Ra .** It follows from the nondimensionalization of (2.1) that Ra
 243 comprises three ingredients: buoyancy, viscosity, and diffusion. Busse (1978) showed how to
 244 define Ra physically, in terms of the scale estimates of the relevant forces. Consider a density
 245 disturbance within a fluid layer in the form of a warmer and lighter blob, see Fig. 2. If the blob
 246 starts rising and passes through the layer, the convective circulation sets in. The buoyancy force
 247 on the blob is $F_b \sim g \Delta \rho$. The scale of the density disturbance relates to the scale of the temper-
 248 ature disturbance by $\Delta \rho = \alpha \rho \Delta T$. Here, the temperature difference $\Delta T = T_2 - T_1$ is both the ex-
 249 ternal control parameter (the imposed gradient) and the characteristics of the internal state of the
 250 system (the slope of the temperature profile). T_2 is the departure from the state of thermal
 251 equilibrium with T_1 . The blob temperature decreases due to the heat diffusion in the horizontal
 252 direction. Therefore, the buoyancy force must be multiplied by an efficiency factor ζ . The factor is
 253 taken as a ratio of two time scales, $\zeta = \tau^H / \tau^V$ the scale of the heat transfer in the horizontal di-
 254 rection τ^H and the scale of the blob rise in the vertical direction τ^V . Time τ^H is estimated by H^2 / κ ,
 255 which relates to relaxation of isotherms distorted on the distance H to their steady positions. Time
 256 τ^V is estimated by H / v , where v is a velocity scale. Then the buoyancy force is

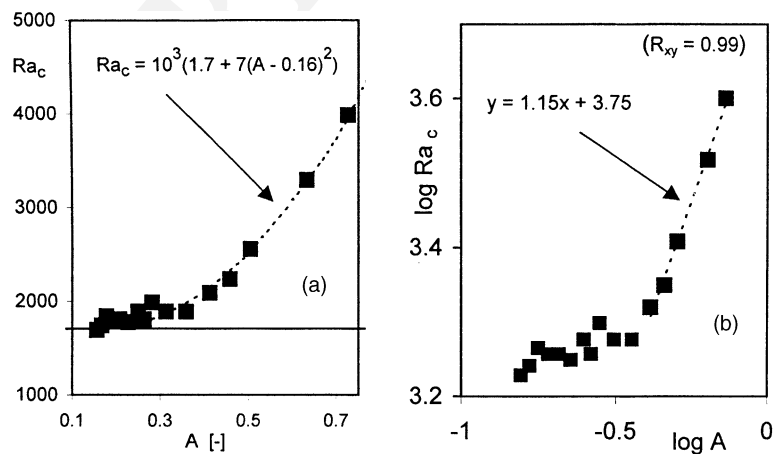


Fig. 1. Thermal layers. Plotting the critical Rayleigh number Ra_c versus layer aspect ratio $A = H/D$ shows the stabilizing effect of sidewalls. (a) Experimental data (marks) allow for the theoretical quadratic fit (dotted line). The origin is shifted since too flat layers seem not to feel the sidewalls. (b) The apparently increasing part of the data (at $A > 0.35$, say) obeys a power law fit (dotted straight line). Data from Stork and Muller (1975).

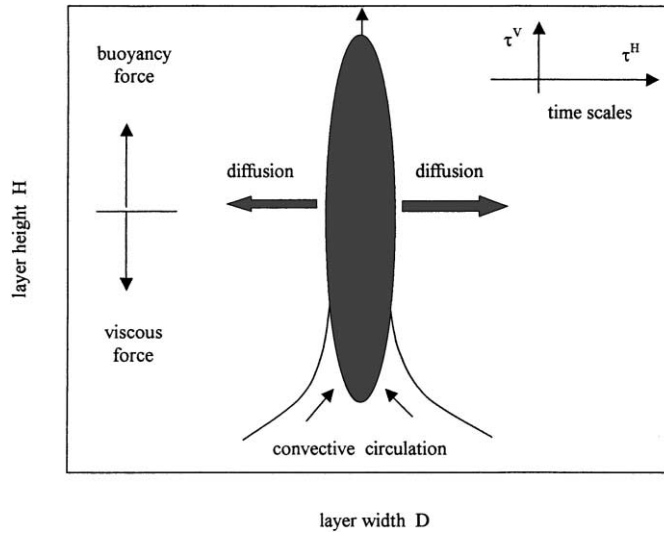


Fig. 2. Buoyant layers. Sketch for defining the Rayleigh number in terms of forces acting on a density disturbance (a light blob) in a uniform buoyant/viscous/diffusive environment.

$$F_b = k_b g \alpha \rho \Delta T (vH / \kappa), \quad (2.6)$$

258 where the unknown proportionality constant k_b depends on the boundary conditions. The scale
259 estimate of the viscous force opposing the blob motion is based on the viscous term of the Navier–
260 Stokes equation and is

$$F_v = k_v \gamma \rho v / H^2, \quad (2.7)$$

262 where another proportionality constant k_v is used. The Rayleigh number introduced in (2.3) can
263 be alternatively expressed in terms of the forces as

$$Ra \equiv (F_b / F_v) (k_v / k_b). \quad (2.8)$$

265 This physical definition applies to any buoyant/viscous/diffusive system where the relevant forces
266 can be evaluated. Advantageously, it does not refer to any particular governing equations, which
267 may be unknown or unreliable, especially in case of two-phase flow systems. At the onset, the
268 forces are in balance, $F_b / F_v = 1$, and the critical value is determined by the two proportionality
269 constants that depend on the boundary conditions, $Ra_c = k_v / k_b$. Because Ra_c depends also on the
270 container shape and size (see Eq. (2.5) and below), so do k_v and k_b . On the other hand, k_v and k_b do
271 not depend on the properties of the fluid. Note that the two-scale Rayleigh number $\sim HD^2$ results
272 when $\tau^H = H^2 / \kappa$ is replaced with $\tau^H = D^2 / \kappa$, which is another sensible time scale joined with
273 diffusion.

274 2.1.2. Dispersed layers (*)

275 2.1.2.1. Rayleigh number. To introduce the Rayleigh number for dispersed layers, the forces in
276 (2.8) must be evaluated for two-phase mixtures. Consider therefore a buoyant two-phase layer

277 with the volumetric concentration of the dispersed phase ϕ . Let the basic state of uniform density
278 be disturbed by $\Delta\rho$ on the length scale H , see Fig. 2. Let the disturbance be a positively buoyant
279 blob of a higher concentration of light particles with density $\rho_p < \rho$. If the blob passes through the
280 layer, the convection sets in. The buoyancy force on the blob is $F_b^* \sim g\Delta\rho$, where the scale of the
281 density disturbance relates to that of the concentration disturbance by $\Delta\rho = (\rho - \rho_p)\Delta\phi$. Here,
282 $\Delta\phi = \phi_2 - \phi_1$ is the characteristics of the internal state of the system. ϕ_2 is the departure from the
283 state of mechanical equilibrium with $\phi_1 = 0$. It is natural to estimate ϕ_2 by ϕ itself. The particle
284 concentration in the blob decreases due to the gradient hydrodynamic diffusion in the horizontal
285 direction. Therefore the buoyancy force must be multiplied by an efficiency factor ζ^* . The factor is
286 taken as a ratio of two time scales, $\zeta^* = \tau^{H^*}/\tau^{V^*}$, the scale of the particle transfer in the horizontal
287 direction τ^{H^*} , and the scale of the blob rise in the vertical direction τ^{V^*} . Time τ^{H^*} is estimated by
288 H^2/κ^* , which relates to the relaxation of the concentration profiles distorted on the distance H to
289 the steady state. Time τ^{V^*} is estimated by H/v , where v is a velocity scale. Then the buoyancy force
290 is

$$F_b^* = k_b^* g(\rho - \rho_p)\phi(vH/\kappa^*), \quad (2.9)$$

292 where the unknown proportionality constant k_b^* depends on the boundary conditions. The scale
293 estimate of the viscous force is similar like that in (2.7),

$$F_v^* = k_v^* \gamma^* \rho v/H^2, \quad (2.10)$$

295 where another proportionality constant k_v^* is employed and γ^* denotes the effective viscosity of the
296 mixture. On the basis of (2.8), the Rayleigh number of dispersed layers is defined as

$$Ra^* \equiv (F_b^*/F_v^*)(k_v^*/k_b^*) = \frac{g'\phi H^3}{\gamma^* \kappa^*}, \quad (2.11)$$

298 where g' is the reduced gravity $g|\rho - \rho_p|/\rho$. At the onset, the forces are in balance, $F_b^*/F_v^* = 1$, and
299 the critical value is determined by the boundary conditions via the proportionality constants,
300 $Ra_c = k_v^*/k_b^*$. Besides the container shape and size, k_v^* and k_b^* may potentially depend on certain
301 parameters of the two-phase mixture. By the analogy, a similar stabilizing effect of the sidewalls is
302 anticipated for the dispersed layers and the counterpart of (2.5) is the following formula:

$$Ra_c^* = Ra_c^{\infty*} + f^*(A), \quad (2.12)$$

304 which must be found experimentally by plotting the values of the critical Rayleigh number
305 $g'\phi_c H^3/\gamma^* \kappa^*$ against the layer aspect ratio A . The relation (2.12) contains the complete information
306 about the onset. Note that the dispersed two-scale Rayleigh number $\sim HD^2$ results when
307 $\tau^{H^*} = H^2/\kappa^*$ is replaced with $\tau^H = D^2/\kappa^*$, which is another sensible time scale joined with diffu-
308 sion.

309 **2.1.2.2. Stability criterion.** The stability condition can be expressed either in terms of the critical
310 Rayleigh number, $Ra^* < Ra_c^*$, see (2.12), or, equivalently, by mere rearranging (2.12), in terms of
311 the critical voidage, which is a more convenient quantity,

$$\phi < \phi_c = \frac{\gamma^* \kappa^*}{g'} \frac{Ra_c^{\infty*} + f^*(A)}{H^3}. \quad (2.13)$$

313 This is the main result of the study. It should apply quite generally to dispersed layers governed by
314 buoyancy, viscosity, and diffusion. The value of the critical voidage ϕ_c is the measure of the
315 homogeneous layer stability. Obviously, the higher the critical voidage ϕ_c the more stable the
316 layer. In (2.13), the limit value $Ra_c^{\infty*}$ is a numerical parameter and the wall correction function
317 $f^*(A)$ is a functional parameter of the theory. Numerical values of these two parameters must be
318 determined experimentally.

319 2.1.2.3. *Prandtl and Nusselt numbers.* The Prandtl number for dispersed layers is defined in accord
320 with its thermal counterpart (2.2) as

$$Pr^* \equiv \gamma^*/\kappa^*. \quad (2.14)$$

322 It measures the relative importance of viscosity and diffusion in two-phase mixtures and de-
323 pends on the mixture properties. The Nusselt number is defined in accord with (2.4) as

$$Nu^* \equiv J_0^*/J_1^* = (J_1^* + J_2^*)/J_1^*, \quad (2.15)$$

325 where J_0^* , J_1^* , and J_2^* are the total, conductive, and convective fluxes of the dispersed phase through
326 the layer. The onset of convection is indicated by the departure of Nu^* from unity in the plot of
327 Nu^* versus Ra^* . In bubble columns, the total buoyancy flux J_0^* equals the superficial gas velocity
328 $q = Q/S$, where Q is the volumetric gas flow and S the cross-section area. The homogeneous–
329 heterogeneous regime transition is a gradual process and the conductive and convective fluxes can
330 be expressed as $J_1^* = (1 - \beta)q$ and $J_2^* = \beta q$, where β is the fraction of the column occupied by the
331 heterogeneous regime (indexes 1 and 2 refer to homogeneous and heterogeneous regimes re-
332 spectively). Relation (2.15) then simplifies to

$$Nu^* = 1/(1 - \beta). \quad (2.16)$$

334 As an example, to demonstrate how the onset can be determined from experimental data, Nu^* is
335 plotted against Ra^* in Fig. 3. The data are taken from our previous measurements in bubble
336 columns, where the values of β were determined (Ruzicka et al., 2001a). It is convenient to express
337 the scale of the voidage disturbance ϕ in the definition (2.11) by $\phi = q/u$, which is the mass

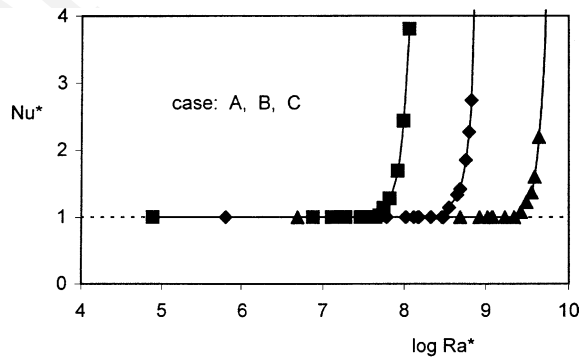


Fig. 3. Bubbly layers. Plotting the bubbly Nusselt number Nu^* versus the bubbly Rayleigh number Ra^* indicates the onset of convection in bubbly layers. Experimental data (connected marks) from Ruzicka et al. (2001a). ($D = 0.15$ m; $H = 0.25$ m (case A), $H = 0.5$ m (case B), $H = 1$ m (case C)).

338 conservation equation of the gas phase in the uniform steady state of the column. q is the main
339 control parameter of the experiment, the buoyant energy input. u is the mean gas phase rise
340 velocity (at zero net liquid flux also mean bubble slip speed), whose scale estimate is the terminal
341 bubble rise velocity w . Further, considering $g' \approx g$ for the water–air system and taking $\gamma^* \approx \gamma$, the
342 bubbly Ra^* becomes $gqH^3/w\gamma\kappa^*$, which is used in the plot in Fig. 3.

343 2.2. Formal analogy

344 So far, only the physical analogy between the two systems has been pursued. In this section, the
345 conditions are specified, under which the governing equations of the thermal and dispersed layers
346 are formally similar in the case of 1D dispersed flows. The fundamental equations (2.1) of thermal
347 layers are widely accepted (Drazin and Reid, 1981; Tritton, 1988; Koschmieder, 1993). On the
348 other hand, there is much less consensus on numerous equations suggested for two-phase mix-
349 tures. They lack the fundamental basis due to the complexity of two-phase flows (Minev et al.,
350 1999; Jackson, 2000). The equations for the *fluid phase* are easier to formulate. They are ac-
351 ceptable in the form

$$\rho_0 \frac{\partial}{\partial t} (1 - \phi) + \rho_0 \nabla \cdot ((1 - \phi) \mathbf{v}) = 0, \quad (2.17a)$$

$$\rho_0 (1 - \phi) \left(\frac{\partial \mathbf{v}}{\partial t} + \mathbf{v} \cdot \nabla \mathbf{v} \right) = -(1 - \phi) \nabla p + \nabla \tau^* \rho_0 (1 - \phi) \mathbf{g} + \mathbf{F}. \quad (2.17b)$$

354 Unlike its thermal counterpart (2.1a), the continuity equation (2.17a) is ‘compressible’ due to the
355 variable void fraction. The dispersed momentum equation (2.17b) differs from the thermal mo-
356 mentum equation (2.1b) by a more complicated stress tensor and by the phase interaction force \mathbf{F} .
357 The equations for the *dispersed phase* present a great difficulty. Considerable progress has recently
358 been made in formulating these equations for 1D flows. Batchelor (1988) derived his equations
359 from the first principles. Biesheuvel and Gorissen (1990) obtained their equations using an av-
360 eraging procedure in a similar form as Batchelor. These equations contain all relevant physical
361 phenomena whose importance has yet been recognized and were successfully tested experimen-
362 tally (Lammers and Biesheuvel, 1996). They are of the following general form (Batchelor, 1988):

$$\frac{\partial \phi}{\partial t} + \frac{\partial}{\partial x} (\phi u) = 0 \quad (\text{mass}), \quad (2.18a)$$

$$\begin{aligned} & (\text{acceleration}) + (\text{elasticity}) + (\text{particle stress}) \\ & = (\text{buoyancy}) + (\text{drag}) + (\text{diffusion}) \quad (\text{momentum}). \end{aligned} \quad (2.18b)$$

365 In the dynamic equation (2.18b), the inertial terms are located on the left-hand side and the
366 noninertial terms are on the right-hand side.

367 The difference between the governing equations of the buoyant agent in thermal and dispersed
368 layers is substantial. It is seen from comparing the one heat equation (2.1c) with the two dispersed
369 phase equations (2.18a) and (2.18b). However, (2.18a) and (2.18b) can be converted into (2.1c)
370 provided that the particle (bubble) inertia and particle (bubble) slip speed are negligible—this is
371 called the *thermal limit* of dispersed layers. We follow the procedure by Batchelor (1988) for
372 extracting the kinematic mode from his equations. The linearized dimensionless equations of the

373 dispersed phase (2.18) for small departures from uniformity (index 0) in a reference frame trav-
374 elling with the mean mixture velocity U are (Batchelor, 1988):

$$\phi_t + \phi_x + \phi_0 u_x = 0 \quad (\text{mass}), \quad (2.19a)$$

$$A_1(u_t + u_x) - A_2 u_x - A_3 u_{xx} + A_4 \phi_x = \psi(A_5 \phi - A_6 u - A_7 \phi_x) \quad (\text{momentum}). \quad (2.19b)$$

377 The coefficients A_{1-7} generally depend on ϕ , U , the particle Reynolds number, and the particle–
378 fluid density ratio ρ_p/ρ . Their physical meaning is as follows: $A_{1,2}$ —added mass, A_3 —particle
379 viscosity, A_4 —bulk modulus of elasticity, A_5 —density, A_6 —friction, A_7 —hydrodynamic diffusiv-
380 ity. Coefficients A_4 and A_7 play an important stabilizing role. The parameter ψ has the meaning of
381 the Richardson number, i.e. (Froude number)⁻². u is the bubble velocity relative to U . This drift
382 velocity u is simply related to the slip velocity u_s by $u = (1 - \phi)u_s$. Neglecting the inertia terms by
383 putting $\psi \rightarrow \infty$ and substituting for the coefficients ($A_5 = \phi_0 u'_0$, $u'_0 = (du/d\phi)_0$, $A_6 = \phi_0$, $A_7 = \kappa^*$),
384 Eq. (2.19b) becomes $\phi_0 u = -\phi_0 u'_0 \phi - \kappa \phi_x$. Taking the derivative d/dx of this equations, substi-
385 tuting for $\phi_0 u_x$ into (2.19a), and expressing it in the dimensional variables in the laboratory ref-
386 erence frame gives

$$\phi_t + (U + u_0 + \phi_0 u'_0) \phi_x = \kappa^* \phi_{xx} \quad (\text{mass + momentum}). \quad (2.20)$$

388 If the slip speed is zero, $u_s \equiv 0$, so are u_0 and u'_0 and only $U \phi_x$ remains from the round bracket.
389 The mixture velocity U then becomes the fluid velocity v . Under these conditions, (2.20) reads

$$\phi_t + v \phi_x = \kappa^* \phi_{xx} \quad (\text{mass + momentum}), \quad (2.21)$$

391 which is the 1D analogue of the heat equation (2.1c). The bubbly-thermal analogy is thus proved
392 on the level of the governing equations. The condition of zero slip speed corresponds to a neu-
393 trally buoyant agent and implies $\rho_p \approx \rho$. This makes the interaction force \mathbf{F} in (2.17b) zero, be-
394 cause it is usually taken proportional to the slip speed and/or the density difference ($\rho - \rho_p$). For
395 instance, Shnip et al. (1992) used $\mathbf{F} = (1 - \phi)\phi(\rho - \rho_p)g$. With $F = 0$, Eq. (2.17b) is closer to its
396 thermal counterpart (2.1b).

397 3. Experiment

398 The theory presented in this paper is a physical scaling theory, which is based on scale estimates
399 of the forces that control the evolution of a density disturbance in a uniform buoyant layer. The
400 theory contains two parameters, namely $Ra_c^{\infty*}$ and $f^*(A)$, whose numerical values must be de-
401 termined from experimental data. Therefore, an extensive set of experiments with bubbly layers in
402 bubble columns was performed to establish (2.12) and to verify (2.13). The experiments were
403 focused on the effect of the column size on the homogeneous regime stability, which is important
404 for the scale-up and design of real reactors. Because of their relevance for the chemical engineering
405 community, the results have already been published in a separate paper (Ruzicka et al., 2001b)
406 and are only briefly discussed here.

407 In our experiments (Ruzicka et al., 2001b), three cylindrical bubble columns with diameters
408 $D = 0.14, 0.29, 0.40$ m were used and the ungasied layer height H varied from 0.1 to 1.3 m, giving
409 the aspect ratio A from 0.71 to 9.3. Compressed air and tap water were used as the phases. The gas
410 passed through a 3-mm thick perforated brass plate with small, precisely drilled, circular orifices

411 0.5 mm in diameter arranged in a regular hexagonal lattice with 10 mm pitch and the relative free
412 plate area 0.2 %. Under these conditions, fairly uniform bubbly layers are produced (Kastanek et
413 al., 1993). The dependence of the voidage ϕ (calculated from the layer expansion) on the su-
414 perfacial gas velocity q (measured by rotameters) was obtained with a relative error less than 5%.
415 To determine the critical point $[q_c, \phi_c]$, the primary data $\phi(q)$ were re-plotted according to the
416 drift-flux concept (Wallis, 1969) in the coordinates the drift-flux j and the voidage ϕ . The onset
417 was indicated by the departure of the experimental data $j = (1 - \phi)q$ from the theoretical curve
418 $j = \phi(1 - \phi)u$, where u is bubble slip speed. Instead of one of many empirical formulas for $u(\phi)$
419 suggested mostly for sedimenting systems (e.g. Richardson and Zaki, 1954), we used a nonem-
420 pirical expression derived for uniform bubbly layers (Ruzicka et al., 2001a),

$$u(\phi) = w \left(1 - \frac{a\phi}{1 - \phi} \right). \quad (3.1)$$

422 The values of the parameters w (terminal bubble velocity) and a (bubble drift coefficient) were
423 determined from the experimental data by linearizing (3.1). Knowing the critical voidage ϕ_c the
424 corresponding critical Rayleigh number was calculated by (2.11). The viscosity of the bubbly
425 mixture was approximated by that of water, $\gamma^* \approx \gamma = 10^{-6}$ m²/s. The hydrodynamic bubble dif-
426 fusivity was estimated as suggested by Batchelor (1988), $\kappa^* \approx dw$, where d is the bubble diameter.
427 For our bubbles, $d \approx 0.005$ m and $w \approx 0.2$ m/s giving $\kappa^* \approx 10^{-3}$ m²/s.

428 To establish the relation (2.12), Ra_c^* was plotted against A and a good power-law fit of the
429 experimental data was found in a general form (Ruzicka et al., 2001b):

$$Ra_c^* = Ra_c^{\infty*} + f^*(A) = k_1 + k_2 A^c, \quad (3.2)$$

431 in particular,

$$\begin{aligned} Ra_c^* &\approx 10^5 + 6.70 \times 10^6 A^{2.84} \quad (D = 0.14 \text{ m}), \\ Ra_c^* &\approx 10^5 + 4.72 \times 10^7 A^{2.80} \quad (D = 0.29 \text{ m}), \\ Ra_c^* &\approx 10^5 + 1.12 \times 10^8 A^{2.74} \quad (D = 0.40 \text{ m}). \end{aligned}$$

433 With help of (3.2), the stability condition (2.13) for our bubbly layers can be written as

$$\phi < \phi_c = \frac{\gamma^* \kappa^*}{g'} \left(\frac{k_1}{H^3} + \frac{k_2}{H^{3-c} D^c} \right), \quad (3.3)$$

435 where $k_1 \approx 10^5$ is the critical Rayleigh number of the horizontally infinite layers, k_2 is the coef-
436 ficient of the correction function, by 2–3 orders of magnitude larger than k_1 and c is the exponent
437 of the power-law correction function with values between 2.5 and 3, say. The critical voidage
438 predicted by (3.3) compares well with the experimental data (Ruzicka et al., 2001b) (see Fig. 4).
439 Considering the simplicity of the present scaling theory, the agreement is very good.

440 4. Discussion

441 The crucial difference between the dispersed and thermal layers is that, unlike heat, the dis-
442 persed particles are physical individual, moving relatively to the fluid with their own inertia and

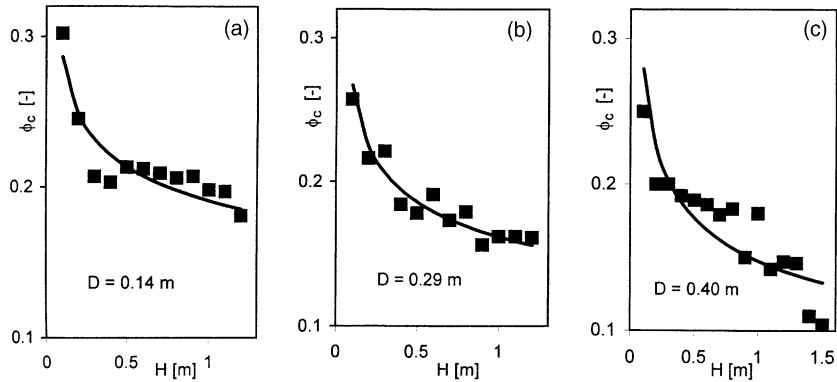


Fig. 4. Bubbly layers. Effect of the container width on the critical voidage: comparison of the present theory (stability criterion (3.3), lines) with the experimental data (marks) from Ruzicka et al. (2001b).

443 undergoing interactions. It has two consequences for the *basic state*: the presence of the small-
 444 scale flow field and the absence of the vertical density gradient. In the case of bubbles, the
 445 background flow field is produced by the coherent upwelling effect due to the Darwinian drift (e.g.
 446 Eames et al., 1994) of the collectively rising bubbles. Fortunately, this coherency is lost by the
 447 passage of the backflow through the pseudo-random bed of fluctuating bubbles. The uniformity is
 448 thus recovered in the statistical sense on longer time scales and the basic state can be defined as the
 449 long-term rest. Heat needs a gradient to move through a stagnant layer and diffusion is the only
 450 mean of the transport. Dispersed particles have two transport mechanisms: hydrodynamic dif-
 451 fusion and free rise, both of comparable rates. Indeed, the time scale of the former is
 452 $d^2\kappa^* \sim d^2/dw \sim d/w$ and that of the latter is d/w . Particles endowed with the ability of active
 453 motion do not wait till a stable diffusion profile is developed. Fortunately, the density profile is
 454 not essential for the convective instability to occur. It suffices to have a mechanism that produces
 455 voidage disturbances in a uniform layer. This is ensured by the hydrodynamic interactions among
 456 the particles and between them and the background flow field. In case of zero relative motion
 457 between the phases, the background field vanishes and the particles develop the linear diffusion
 458 profile.

459 In thermal layers, the *onset* is a sudden supercritical event, provided that the boundaries are
 460 perfectly conducting or insulating. The thermal onset can be made gradual by imperfect walls,
 461 where small subcritical motions exist and the onset goes via an imperfect bifurcation (Kosch-
 462 mieder, 1993). On the other hand, the onset in dispersed layers is a continuous process of a
 463 gradual increase in the population of coherent structures living in the background flow field. By
 464 suppressing this background field, the onset will be sudden too.

465 The *first mode* after the onset in thermal layers, the convective cells, is well-defined, temporally
 466 steady, spatially regular, and linearly stable. In dispersed layers, the convective motions are ill-
 467 defined, highly unsteady, spatially irregular, random, and their stability is difficult to assess. Only
 468 after taking the long-term average, these motions display smooth and steady circulation loops
 469 similar to the thermal cells.

470 It follows that even the thermal and dispersed layers differ from one another in several aspects,
 471 these differences are, however, not fatal. Moreover, the differences can be removed by employing

472 long-term view at the dispersed layers and letting the relative motion between the phases become
 473 negligible. Under these conditions, both layers are physically similar and are expected to display
 474 identical behaviour near the onset of convection.

475 On the grounds of the above *physical* similarities between the thermal and dispersed layers, it is
 476 tempting to make a link between these two. A simple way is to introduce the Rayleigh, Prandtl,
 477 and Nusselt numbers for the dispersed layers.

478 The dispersed *Rayleigh number* (2.11) was introduced on physical grounds in terms of forces
 479 following the Busse's (1978) reasoning. From (2.3) and (2.11) we can see that the thermal
 480 buoyancy factor $\alpha\Delta T$ is replaced with ϕ , the heat diffusivity with the hydrodynamic diffusivity κ^* ,
 481 and the gravity g with g' . Comparing the thermal and bubbly buoyant effects, $\alpha\Delta T$ and ϕ , it can
 482 be shown readily that one cubic metre of air in water gives the same buoyant effect as 2.8×10^{10}
 483 Joules of heat. One 5-mm bubble then represents about 1800 J. Unfortunately, the theory of the
 484 hydrodynamic diffusion at the intermediate Reynolds number is far from being developed (Davis,
 485 1996). The gradient diffusion is a complex process where a macroscopic flux of the dispersed phase
 486 is generated by complicated hydrodynamic interactions between a large number of individual
 487 dispersed particles. Due to the interactions, a particle experiences larger total force from the side
 488 with more neighbours. As a result, it moves into a region with a lower particle concentration, i.e.
 489 down the concentration gradient. Because of lack of anything better, we are on mercy of simple
 490 scale estimates for the diffusivity, like $\kappa^* \sim dw$ (see e.g. Batchelor, 1988 and Batchelor and Nit-
 491 sche, 1991). For thermal convection in water layers and two-phase convection in water–air bubbly
 492 layers, it is $g\alpha/(\gamma\kappa) \approx g'/(\gamma^*\kappa^*) \approx 10^{10}$ giving thus $Ra \approx 10^{10} \Delta TH^3$ and $Ra^* \approx 10^{10} \phi H^3$. Because
 493 the product ΔTH^3 is usually much smaller than ϕH^3 the dispersed Ra^* is expected to be much
 494 larger than the thermal Ra , which is $\sim 10^3$ near the onset. Indeed, Ra^* in the range 10^4 – 10^{10} was
 495 found in our experiments with bubbles, and the limit value was estimated $Ra_c^{\infty*} \approx 10^5$, see rela-
 496 tions (3.2) and Ruzicka et al. (2001b). Other authors reported similar values of the dispersed
 497 Rayleigh number. Rothman and Kadanoff (1994) found $Ra^* \sim 10^7$ typical for bubbles and Tong
 498 and Ackerson (1998) estimated $Ra^* \sim 8.8 \times 10^7$ typical for sedimenting layers. Kimura and Iga
 499 (1995) used Ra^* of 10^4 – 10^{10} in 2D simulations and had $Ra^* = 2.5 \times 10^5$ in 2D experiments. Cli-
 500 ment and Magnaudet (1999) obtained $Ra_c^* \approx 2.2 \times 10^5$ from 2D simulations. Note, however, that
 501 Kimura and Iga and Climent and Magnaudet did not consider the hydrodynamic diffusion.
 502 Kimura and Iga obtained $Ra^* = g'qH^2/\gamma w^2$ by scaling the two-phase governing equations where
 503 the inertial estimate Hw based on the bubble slip speed w stands in place of the hydrodynamic
 504 diffusivity $\kappa^* \sim dw$. Climent and Magnaudet introduced the bubbly number as $Ra^* = g\phi H^2/\gamma w$,
 505 which relates to that of Kimura and Iga by the continuity relation $\phi = q/w$ (see below the Eq.
 506 (2.16)). Because all these authors had $H \sim 10^3 d$, their inertial estimate Hw is by three orders of
 507 magnitude larger than the diffusivity $\sim dw$, so that their inertia-based Ra^* is also by three orders of
 508 magnitude larger than the diffusion-based Ra^* .

509 The dispersed *Prandtl number* was introduced in (2.14) purely formally to retain the physical
 510 meaning of its thermal counterpart (2.2). Both γ^* and κ^* represent the constitutive properties of
 511 the two-phase mixture and are difficult to evaluate precisely (Kang et al., 1997; Tory, 2000). For
 512 our bubbles ($\gamma = 10^{-6}$ m²/s, $d = 5 \times 10^{-3}$ m, $w = 0.2$ m/s) with the large Reynolds number
 513 $Re = dw/\gamma = 10^3$, the diffusion-based Prandtl number is estimated as $Pr^* = \gamma^*/\kappa^* \approx \gamma/\kappa^* \sim \gamma/
 514 dw = 10^{-3}$. Note that Pr^* evaluated this way equals $1/Re$. If, however, the effective mixture vis-
 515 cosity is estimated by scales as $\gamma^* \sim dw$, and the diffusivity as $\kappa^* \sim dw$ too, then $\gamma^* \sim \kappa^*$ and the

516 dispersed Pr^* is a constant (of order of unity?). Tong and Ackerson (1998) estimated
517 $Pr^* \approx 3.8 \times 10^3$ from previous experimental data on Stokes suspensions with small Re . Kimura
518 and Iga (1995) obtained the inertia-based bubbly Prandtl number by scaling the diffusionless
519 governing equations, $Pr^* = \gamma/Hw$, with values $Pr^* \sim 10^{-3} - 10^1$ in simulations and $Pr^* \sim 3 \times 10^{-2}$
520 in experiments. For their bubbles ($\gamma = 10^{-6} \text{ m}^2/\text{s}$, $d = 2.5 \times 10^{-5} \text{ m}$, $w = 3.6 \times 10^{-4} \text{ m/s}$) with
521 $Re = 9 \times 10^{-3}$, the diffusion-based $Pr^* = \gamma\kappa^*$ equals 111. Climent and Magnaudet (1999) used the
522 same inertial-based $Pr^* = \gamma/Hw$ and kept it constant, $Pr^* = 2.5 \times 10^{-4}$. Their bubbles had $Re \approx 4$
523 (at $\gamma = 10^{-6} \text{ m}^2/\text{s}$, $d = 2 \times 10^{-4} \text{ m}$, $w = 2 \times 10^{-2} \text{ m/s}$) and therefore the diffusion-based
524 $Pr^* = \gamma^*/\kappa^*$ is ≈ 0.25 .

525 The dispersed *Nusselt number* Nu^* was introduced in (2.15) purely formally to retain the
526 physical meaning of its thermal counterpart (2.4). Replacing the conductive and convective heat
527 fluxes in (2.4) with the corresponding mass fluxes of the buoyant phase is the obvious choice. The
528 definition (2.15) simplifies to (2.16), if the transition to the convective regime is gradual.

529 Note that the convection in bubbly layers studied by Kimura and Iga (1995) and Climent and
530 Magnaudet (1999) was triggered by the Rayleigh–Taylor instability, where no wavelength selec-
531 tion occurs, rather than by the Rayleigh–Benard instability, where the opposite is true. Therefore,
532 their and our results do not correspond completely. For instance, the ‘critical’ Rayleigh number in
533 these studies refers to the state where the layer is unable to develop into steady circulation cells,
534 i.e. it refers to the results of the layer time evolution rather than to a property of the uniform basic
535 state. For instance, Kimura and Iga (1995) found that the layer develops into steady cells only at
536 low Pr^* , that the value of critical Ra_c^* increases with Pr^* , that the cell aspect ratio A is typically
537 larger than 1, and that the cell size increases with Ra_c^* .

538 Regarding the stability criterion (2.13), we believe that, if the analogy is perfect, the values of
539 $Ra_c^{\infty*}$ and $f^*(A)$ are universal and (2.13) is fully predictive. As with the thermal layers, the critical
540 value $Ra_c^* = k_v^*/k_b^*$ should depend only on the boundary conditions and the container geometry.
541 However, we suspect that real bubbly layers in real columns may violate the wistful perfectness
542 and k_v^* and k_b^* may depend also on properties of the two-phase mixture, e.g. on the bubble size.

543 To obtain the analogy on the *formal* level means to specify the conditions, under which the
544 thermal and dispersed governing equations become equivalent. One way is to reduce the dispersed
545 equations, while the other is to extend the thermal equations.

546 In Section 2.2, a particular set of dispersed equations (2.17)–(2.18) in a particular case of 1D
547 flow was reduced to the thermal equations (2.1) under the condition of negligible particle inertia
548 and slip speed. We believe that a similar procedure will work also for other sets of governing
549 equations, and in 2D and 3D. If the particle *inertia* is neglected in the linearized momentum
550 equation (2.19b) by putting the left-hand side zero, the right-hand side describes the dynamical
551 balance (equilibrium) between two momentum fluxes into a control element:
552 (buoyancy) – (drag) = (diffusion). Taking the derivative d/dx of this balance gives the expression
553 of the quantity $\phi_0 u_x = \phi_0 u'_0 \phi_x - \kappa^* \phi_{xx}$ which is the convective contribution to the mass flux
554 generated by the equilibrium dynamics and driven by the local velocity gradient u_x . This expression
555 is substituted into the mass equation (2.19a) yielding (2.20), which then remains the only gov-
556 erning equation of the dispersed phase. Under these conditions, the hydrodynamic diffusion,
557 which essentially is a dynamic process of the momentum exchange due to the inter-bubble re-
558 pulsive forces, becomes a kinematic process, as stressed by Batchelor (1988). The buoyancy-to-
559 inertia parameter $\psi = \sigma g' L / U^2$ in (2.18b) relates to the Richardson number $Ri = g' L / U^2$. σ is a

560 nearly constant number and L is the disturbance wavelength, as a length scale. Long wave dis-
561 turbances ($\psi \rightarrow \infty$) are governed by the kinematic mode. Biesheuvel and Gorissen (1990) inter-
562 pret ψ equivalently, as a ratio of inertia-to-viscous relaxation times: the stronger the force, the
563 shorter the time. Another kind of merger of the momentum and mass equations is known from
564 interactions between dynamic and kinematic waves (e.g. Wallis, 1969). The linearized continuity
565 equation (2.21) supports kinematic concentration waves with speed $(U + u_0 + \phi_0 u'_0)$ damped by
566 $\exp(-\kappa^* k^2 t)$, k is the wavenumber: the shorter the wave, the stronger the damping. $\phi_0 u'_0$ is the
567 relative speed between the wave and the particles, u'_0 between the particles and the mixture, and U
568 between the mixture and the laboratory. If the relative motion of the phases is negligible, i.e. the
569 slip speed tends to zero, then the drift velocity u_0 and its derivative u_0 tend to zero too. The
570 dispersion then supports waves relative to neither the particles nor the mixture, which further
571 stabilizes the homogeneous state. Beyond linearization, the continuity equation (2.18a) equipped
572 with the diffusion term is the Burgers equation (Whitham, 1974). The nonlinear amplitude
573 steepening due to the change of the slip speed with the voidage is opposed by diffusion. Note that
574 both the decrease of the slip speed due to the hindrance effect (Davis and Birdsell, 1996; Brenner,
575 1999) and the increase due to the shielding effect (Yuan and Prosperetti, 1994; Ruzicka, 2000) are
576 possible. As a result, stable wave profiles may develop. Burgers' waves in bubbly flows were
577 studied by Lammers and Biesheuvel (1996).

578 Regarding the other way, the extension of the thermal equations, we expect that it can be done
579 by a suitable perturbation expansion of the set (2.1). The dynamic equation for the buoyant agent
580 will have to be constructed anew, which may be a difficult task to do it rigorously, at least due to
581 the apparent ambiguity of the problem.

582 We lack systematic *experiments* focused on the convective instability of dispersed layers. Those
583 by Agarwal et al. (1980) were aimed at the verification of the former theory developed for fluidized
584 bed (Medlin et al., 1974). The results obtained in a flat column (thickness 1.9 cm) proved the
585 theory predictions: bed stabilization by decreasing column width and increasing column height,
586 the later being in contradiction with our data. The reason may be seen in the two-dimensionality
587 of the problem, considered in the theory and reflected in the experiments. The measurements by
588 Kimura and Iga (1995) performed with very small bubbles close to the thermal limit, i.e. low
589 inertia ($Re = 9 \times 10^{-3}$) and slip speed ($w = 3.6 \times 10^{-4}$ m/s), were rather qualitative (only visual-
590 ization) and of poor reproducibility. Although they were aimed at finding the Rayleigh–Benard
591 instability in bubbly layers, they actually led to the Rayleigh–Taylor instability. The latter basi-
592 cally refers to the interfacial instability of two immiscible fluids superposed in the top-heavy
593 arrangement (Drazin and Reid, 1981). When the originally horizontal interface is disturbed, a
594 region of the light fluid gets into the heavier fluid, and vice versa. The buoyancy force tends to
595 increase the mutual penetration, while the interphase tension force tends to oppose it. The final
596 outcome depends on the relative size of these forces. This concept can be transferred to dispersed
597 layers. Here, the horizontal interphase is the front between two regions of high and low particle
598 concentration. Because these regions are not immiscible, there is no interfacial tension and its
599 stabilizing effect must be produced by a different physical mechanism. It is not clear what
600 mechanism can be operating here. In the particular case of the rising interphase between the clear
601 liquid and the bubbly mixture beneath it in an early period of sparging, the dependence of the
602 bubble slip speed on the local bubble concentration and the bubble arrangement seems to play a
603 certain role. The Rayleigh–Taylor instability of the interphase between dense and sparse regions

604 in a fluidized bed was studied (Didwania and Homsy, 1981). It was found that the interphase
605 stability strongly depends on the uniformity of the both regions. Therefore, the instability ob-
606 served by Kimura and Iga (1995) may well be due to violating the condition of the primary
607 homogeneity. To produce homogeneous layers, the bubbles must be not only uniformly but also
608 *densely* packed. The homogeneity results from extremely strong bubble–bubble interactions that
609 are kept short-range by the close packing. This is often misunderstood and the homogeneous
610 regime is referred to as to one where the interactions are absent. The bubble spacing should be
611 comparable with the bubble size d . The horizontal bubble spacing is given by the orifice pitch p .
612 The ratio p/d is a measure of the horizontal heterogeneity of the primary voidage distribution and
613 takes a large value in the experiments of Kimura and Iga, $p/d = 40$. This is directly responsible for
614 the formation of separated bubble chains instead of uniform bubbly layers. Under these cir-
615 cumstances, the Rayleigh–Taylor instability naturally occurs. Another consequence of the large
616 pitch is a low voidage, less than 1% in Kimura and Iga. For comparison, in our experiments
617 $p/d = 2$ and the voidage was up to 50%.

618 There is a large amount of experimental data produced by chemical engineers on the homo-
619 geneous–heterogeneous regime transition in bubble columns, which is interpreted here as the
620 onset of convection. However, detailed and consistent measurements where the critical point is
621 precisely evaluated and the critical values plotted against important control parameters are ab-
622 sent. Instead, many partial and often controversial results are scattered in the literature. There-
623 fore, we started an extensive experimental campaign to investigate effects of operating parameters
624 on the regime transition and to validate the theoretical result (2.13), namely the effects of H , D , γ ,
625 and possibly κ^* .

626 The experiments on the effects of the container size H and D have been completed and the
627 results published (Ruzicka et al., 2001b). The experimental relations (3.2) show that the sidewall
628 correction can be expressed by a power law function. The same expression applies also to the
629 thermal data, see Fig. 1b. While the limit value $Ra_c^{\infty*} \sim 10^5$ in (3.2) applies to all the data, the
630 parameters k_2 and c vary with the container width D . The reason is likely that our bubbles
631 ($Re \sim 10^3$) were far from the thermal limit. We expect that approaching the limit, k_2 and c will
632 converge to universal values. The prediction of the theory (3.3) agrees well with the experimental
633 data (see Fig. 4). The criterion (3.3) predicts a considerable decrease of the stability with in-
634 creasing the column size in both the vertical and horizontal directions. The first term $\sim 1/H^3$ in
635 the bracket of (3.3) belongs to the horizontally infinite layer and the additional second term
636 $\sim 1/H^{3-c}D^c$ expresses the stabilizing effect of the sidewalls. For the infinite layer, the dimension H
637 appears to the third power, which is the volume of the elementary convective cell, $\phi_c \sim 1/(\text{cell}$
638 volume). The volume relates to the number N of dynamic degrees of freedom by the classical
639 Landau argument (Landau and Lifshitz, 1997), $N \sim (\text{system volume/smallest eddy volume})$, hence
640 $\phi_c \sim 1/N$, where the smallest eddy size is the Kolmogorov scale. The stability condition obtained
641 previously by Shnip et al. (1992) also predicts the destabilizing effect of the column size and was
642 compared with our data with a relative success (Ruzicka et al., 2001a,b). The relation (3.3) pre-
643 dicts $\phi_c > 1$ for very small H and D , which is unrealistic. To obtain the voidage less than unity, the
644 dimensions must obey $H > k_1\gamma^*\kappa^*/g'$ and $D > k_2\gamma^*\kappa^*H^{c-3}g'^{1/c}$. For our parameter values, it gives
645 roughly $H > 0.02$ m and $D > 0.08H^{1-3/c} \approx 0.1$ m for $H = 0.02$ m.

646 The experiments on the effect of the liquid viscosity γ are currently under way. Despite the
647 general experience that the viscosity destabilizes the homogeneous regime and advances the re-

648 gime transition, our recent measurements indicate that it can also delay the transition and stabilize
649 the uniform basic state. This would be in accord with the prediction of our theory: $\phi_c \sim \gamma^* \approx \gamma$,
650 see (2.13). The stabilizing effect of the diffusivity $\phi_c \sim \kappa^*$ predicted by (2.13) is difficult to in-
651 vestigate because we need more information about the process of the hydrodynamic diffusion. The
652 relation (2.13) also predicts $\phi_c \sim 1/g'$, where $g' = g|\rho - \rho_p|/\rho$. Therefore, dispersed layers should
653 be more stable (large ϕ_c) under microgravity conditions (small g) and at low interphase density
654 difference (small $|\rho - \rho_p|$).

655 Detailed experiments with bubbly layers have to be carried out to verify various aspects of the
656 analogy. For instance, it is expected that decreasing the bubble size from millimetres (perforated
657 plates) to microns (electrochemically generated bubbles), a sudden onset of the steady circulation
658 cells will be observed. We plan to do this work in near future.

659 Note that the physical analogy (Section 2.1) works well (Fig. 4) even with relatively large
660 bubbles (~ 5 mm) at the price of varying k_2 and c . This indicates that the present scaling theory is
661 robust with respect to the details of the microdynamics. On the other hand, the formal analogy
662 (Section 2.2) strictly insists on the negligible bubble inertia and bubble slip speed and is therefore
663 much more restrictive than the physical analogy. Experiments with very fine bubbles are needed to
664 reach the thermal limit. In this respect, the results on plumes of microbubbles obtained recently by
665 Chen and Cardoso (2000) and Climent (2001) are highly encouraging: the scaling for bubble
666 plumes seems to approach that of the thermal plumes.

667 Although the analogy was developed with bubbly layers in mind, it should also apply to other
668 flow systems where uniform layers of dispersed particles are encountered, both man-made and
669 natural, e.g. industrial sedimentation, fluidized beds, populations of gas bubbles released in
670 oceans, fine solids settling in natural gravity currents and pyroclastic flows, layers of raindrops,
671 etc.

672 5. Conclusions

673 A simple physical theory is suggested for the analogy between the onset of convective motions
674 in thermal and bubbly layers. The Rayleigh, Prandtl and Nusselt numbers are introduced for
675 bubbly layers. The stability criterion for the onset is obtained on the basis of the critical bubbly
676 Rayleigh number. The theoretical predictions are in general agreement with experiments. It is also
677 shown how to obtain a formal similarity between the governing equations of the bubbly and
678 thermal layers.

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